

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN M. FARNWORTH
ALAN G. WOOD
DAVID R. HEMBREE

SERIAL NO.: 10/035,355

ART UNIT: 2827

FILING DATE: January 4, 2002

EXAMINER: THAI, L.

For: METHOD FOR FABRICATING SEMICONDUCTOR
COMPONENTS AND INTERCONNECTS WITH
CONTACTS ON OPPOSING SIDES

Attorney Docket No.: 97-1363.3

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56
December 11, 2002

Assistant Commissioner of Patents
BOX AMENDMENT (NON FEE)
Washington, DC 20231

Dear Sir:

The citations listed on the accompanying form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:



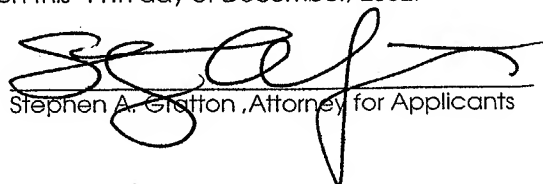
Stephen A. Gratton, Registration No. 28,418
Attorney for Applicants

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Lakewood, CO 80228
Telephone: (303) 989-6353

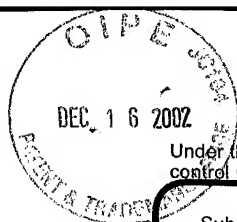
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Stephen A. Gratton, Attorney for Applicants



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/035,355
		Filing Date	January 4, 2002
		First Named Inventor	WARREN M. FARNWORTH et al.
		Art Unit	2827
		Examiner Name	THAI, L.
		Attorney Docket Number	97-1363.3

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code ² (if known)			
	A	US- 6,353,328B2	03/05/2002	Akram et al.	
	B	US- 6,356,098B1	03/12/2002	Akram et al.	
	C	US- 6,362,637B2	03/26/2002	Farnworth et al.	
	D	US- 6,400,172B1	06/04/2002	Akram et al.	
	E	US- 6,417,685B1	07/09/2002	Akram et al.	
	F	US- 6,437,591B1	08/20/2002	Farnworth et al.	
	G	US- 6,451,624B1	09/17/2002	Farnworth et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	-Number ⁴ - Kind Code ⁵ (if known)			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket No.: 97-1363.3

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS
December 11, 2002**

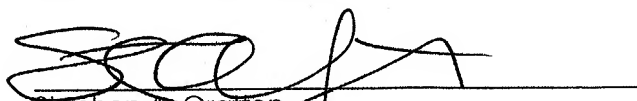
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Washington, D.C. 20231

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

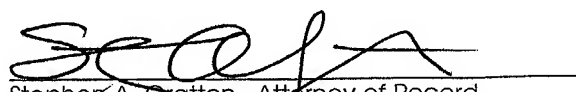

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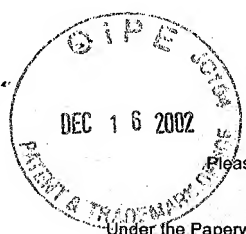
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December 11, 2002
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Stephen A. Gratton, Attorney of Record

2827



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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/035,355
	Filing Date	January 4, 2002
	First Named Inventor	WARREN M. FARNWORTH et al.
	Group Art Unit	2827
	Examiner Name	THAI, L.
Total Number of Pages in This Submission		Attorney Docket Number 97-1363.3

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard
Remarks: Enclosed for filing is a Response to the Office Action dated December 3, 2002 having a statutory period for response set to expire on January 3, 2003.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen A. Gratton, Reg. No. 28,418 THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	December 11, 2002

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Typed or printed name	Stephen A. Gratton	
Signature		Date December 11, 2002

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